

full paper



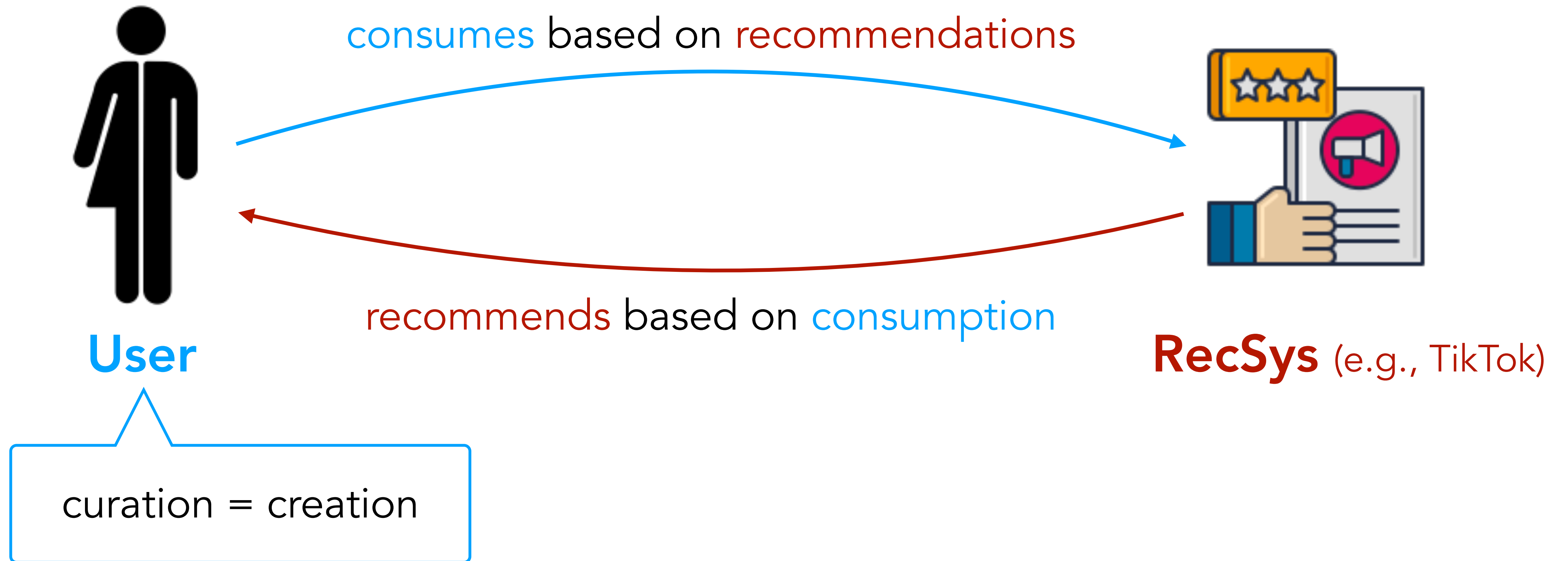
The Disparate Effects of Recommending to Strategic Users

Chara Podimata (MIT)

joint work with *Andy Haupt (MIT)* and *Dylan Hadfield-Menell (MIT)*



RecSys create a feedback loop



Main Questions



User

consumes based on recommendations



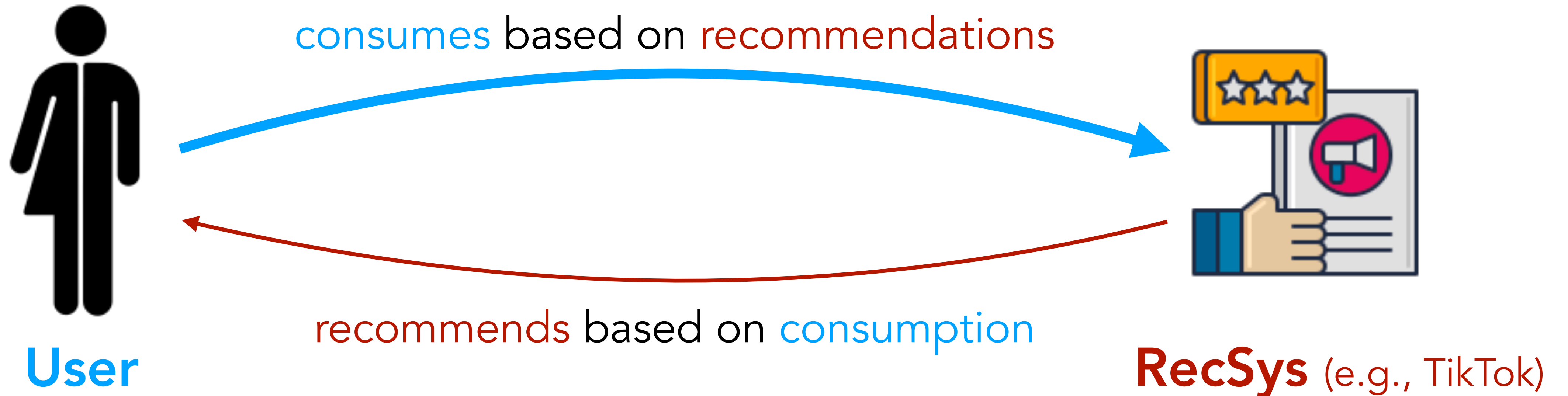
recommends based on consumption



RecSys (e.g., TikTok)

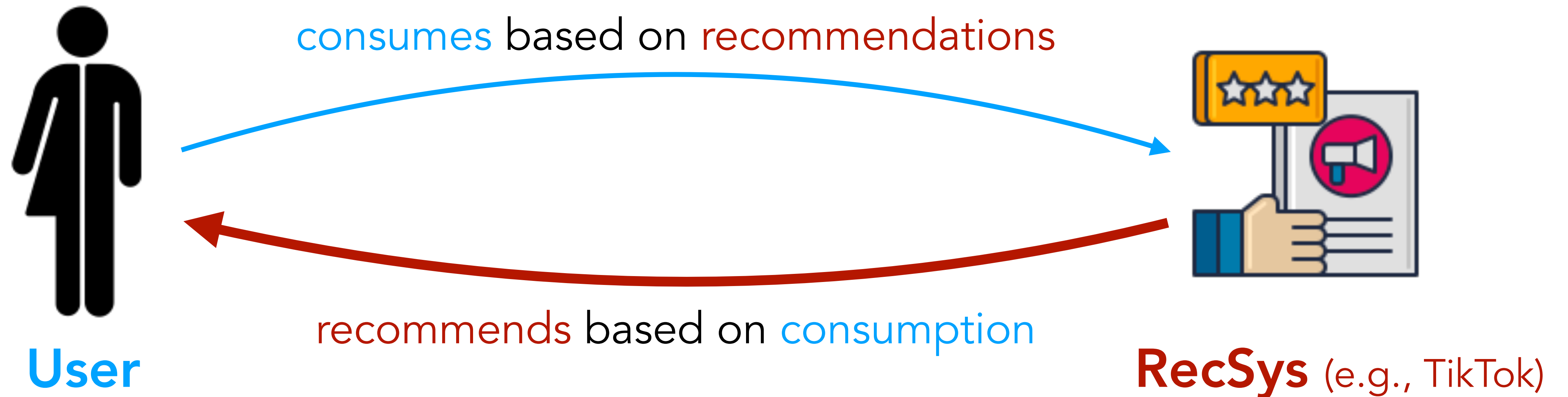
Main Questions

⚙️ **Q1:** *Are users aware of feedback loop? Do they act in response?*



Main Questions

 **Q1:** *Are users aware of feedback loop? Do they act in response?*



 **Q2:** *Harms to users if RecSys does not adapt? Interventions?*

Contributions

Q1: Are users aware of feedback loop? Do they act in response?

1 **Survey** on user consumption patterns on TikTok.



2 Introduction of theoretical **model** about recommending to strategic agents.

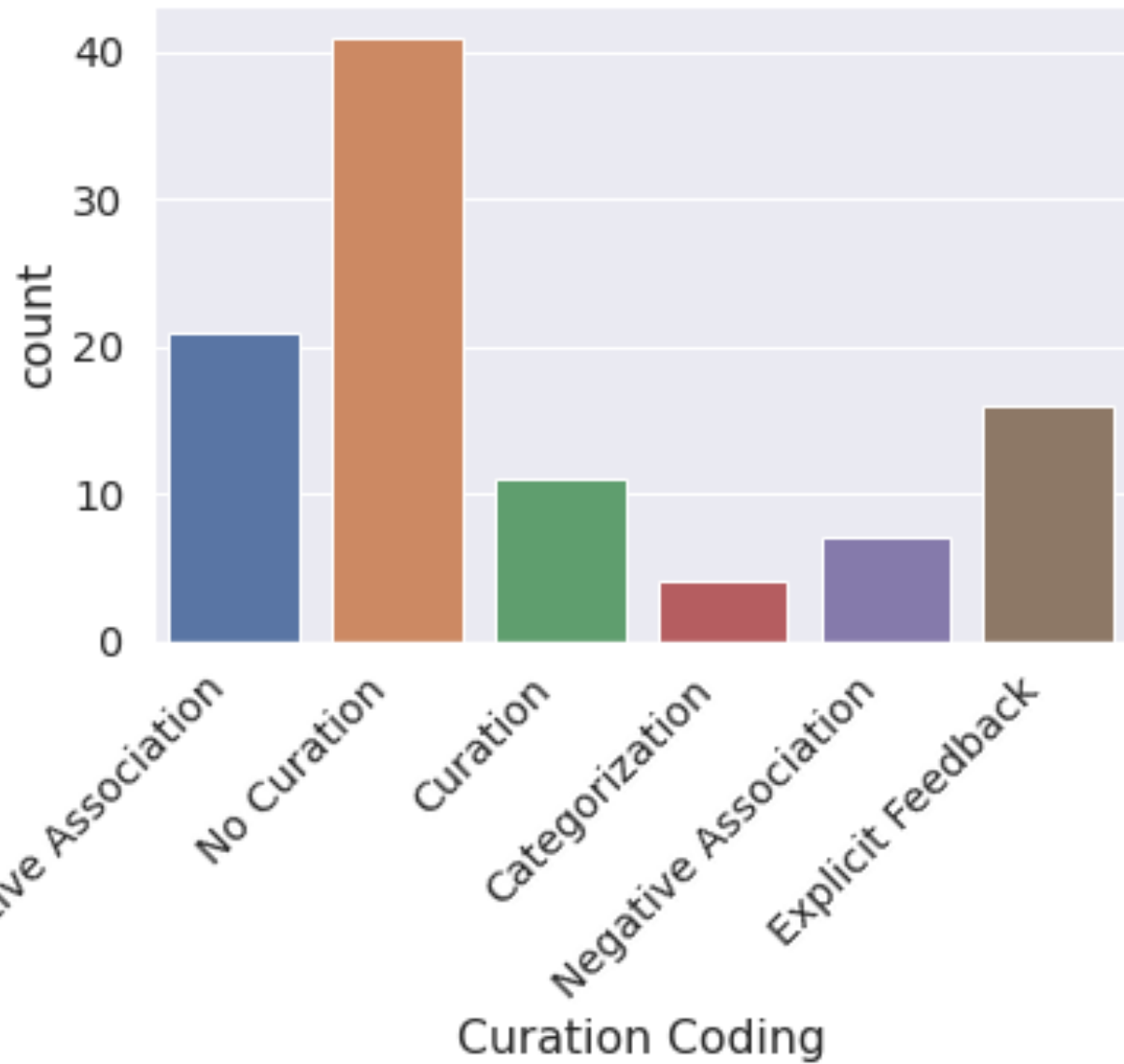
3 **Disparate impact** for **minority** in equilibrium (proof of concept).
Q2: Harms to users if RecSys does not adapt? Interventions?



Survey on TikTok consumption

- * Survey on MTurk 12/22 - 01/23 (100 participants)
- * Mostly **free-form text responses** → qualitative data analysis

Why do you think TikTok recommends these categories?



"I feel that TikTok continues to put these in my feed because I almost always get sucked into watching them. That **tells the algorithm I like them**, even though I am mostly **just using them for background noise** and have seen most of them before"

"I think because I liked a video once of this type of content. I believe by me liking the video the algorithm thought I would like to see more videos like that one."

Actions you take to curate your feed?

"I also like stuff just to see more of that type stuff evn though I don't like it. Like soemtimes if my content gets to dark I try to like animal videos and **comedy more to get off the darker content for a bit.**" [sic]

"Currently, I am **cognizant of what category** of video I think material falls under. I am careful to watch completely videos that fall under the correct category (**even if I am not interested in that particular video**). I am careful to **skip** over videos from the "**wrong**" categories."



Contributions

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Q2: *Harms to users if RecSys does not adapt? Interventions?*

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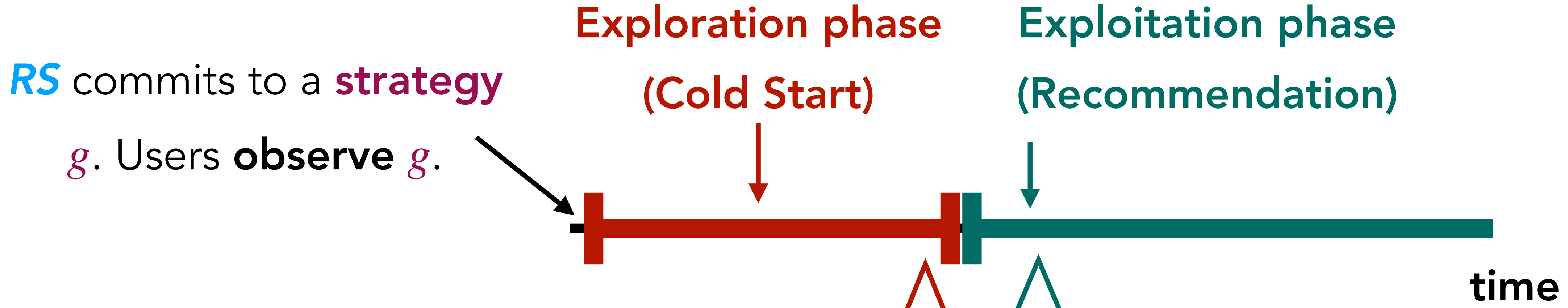
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Model: Strategic Recommendation as a Stackelberg Game

Players Leader: *RS*  Follower: *User* 

Timeline of play



RS randomly presents contents to users



Users **consume** content \sim **preferences + strategy**

From consumption pattern, *RS* infers user type (e.g., sporty spice).

RS implements **policy** g to **map** inferred type to recommended content



Model: Strategic Recommendation

How does **RS** choose the **recommendation policy** g ?

Exploration phase data at **face value** \rightarrow choose g to **max welfare**

How does the **User** choose the **consumption plan** a ?

(consumption \sim Poisson(exposure_rate \cdot consumption_plan))

$$\text{user_utility}(\delta, a, g) = u^{\text{CS}}(a) + \frac{\delta}{1 - \delta} u^{\text{Rec}}(g(a))$$

Exploration phase utility

Exploitation phase utility

future discount factor

Contributions

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i cognitive burden

ii utility under strategizing vs under truth-telling

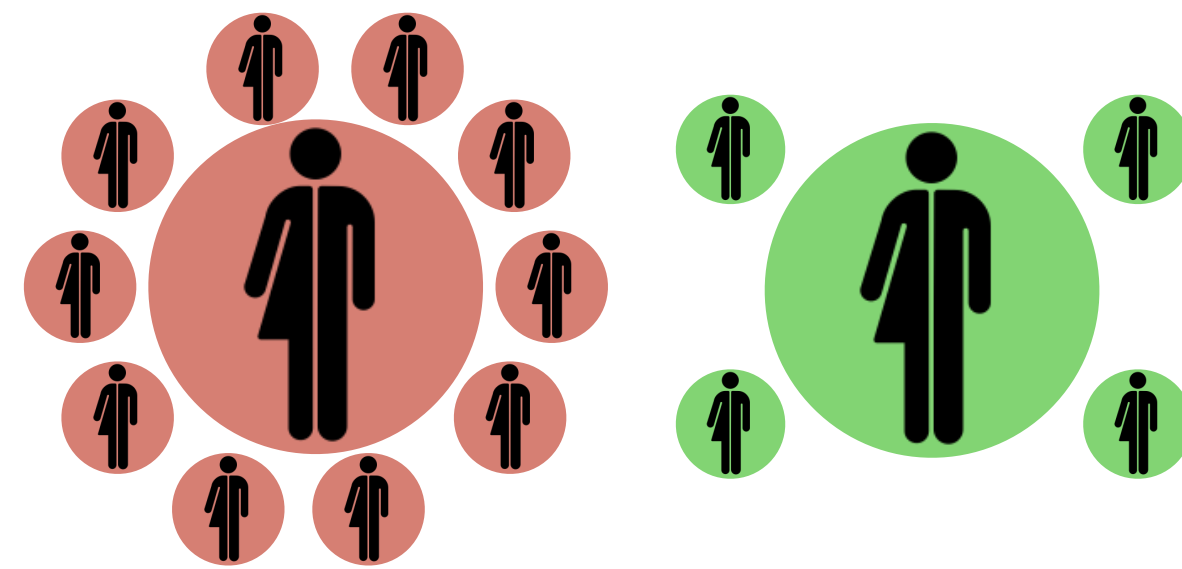
Disparate impact

RecSys equilibrium:

- * Recommend **min-pref**, if no preference for content type 1, 2 or some preference for type 3.
- * Else, **maj-pref**.

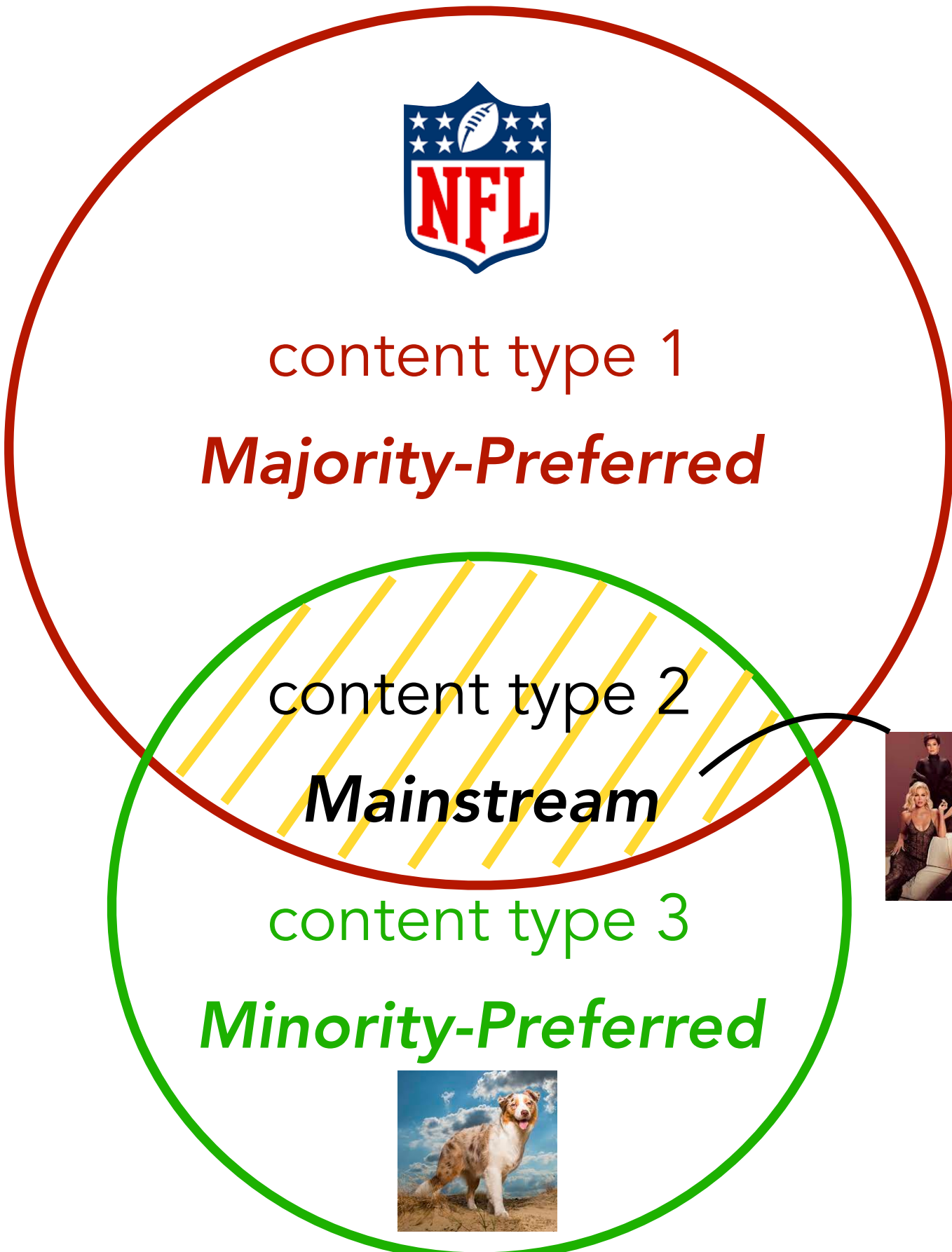
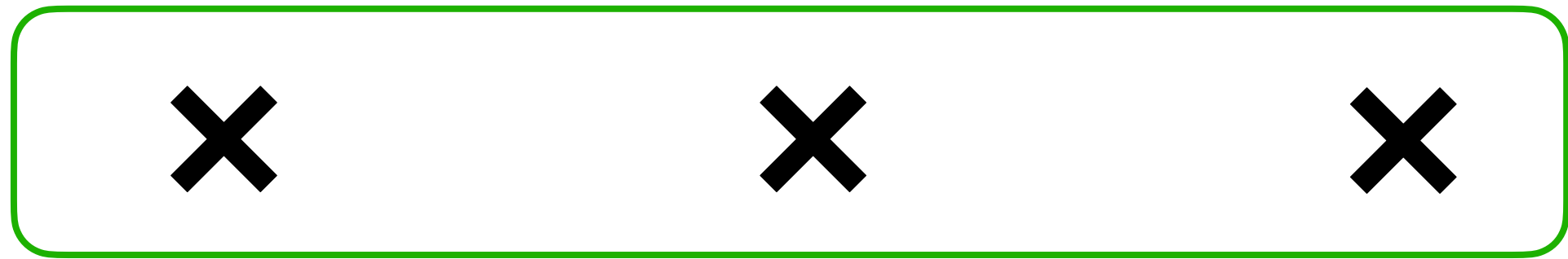
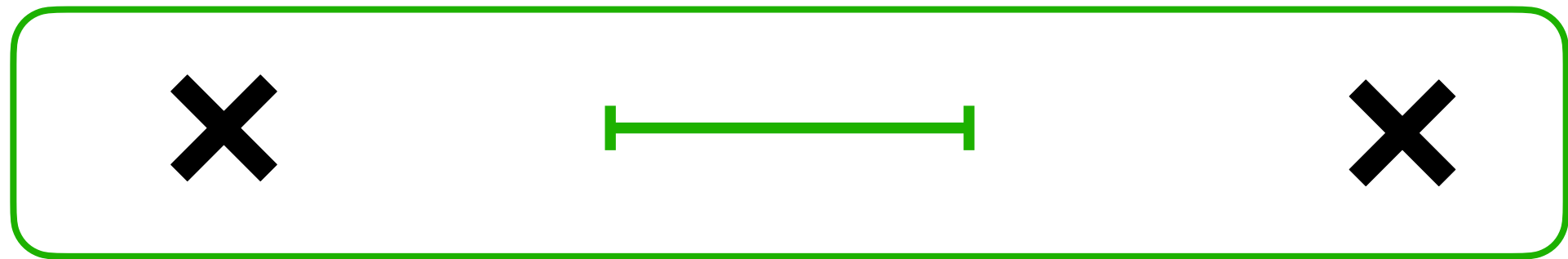
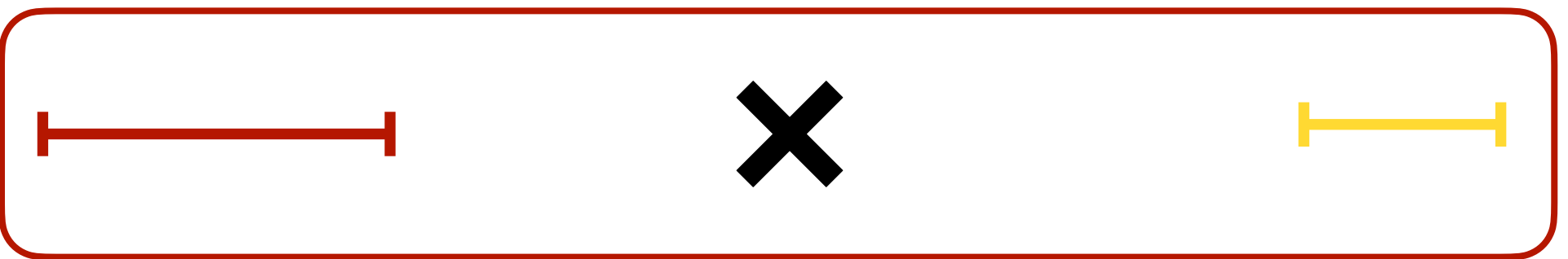
User Types

majority (maj) minority (min)



Why equilibrium?

From *RS* perspective: possible consumption profiles



Consumption Preferences

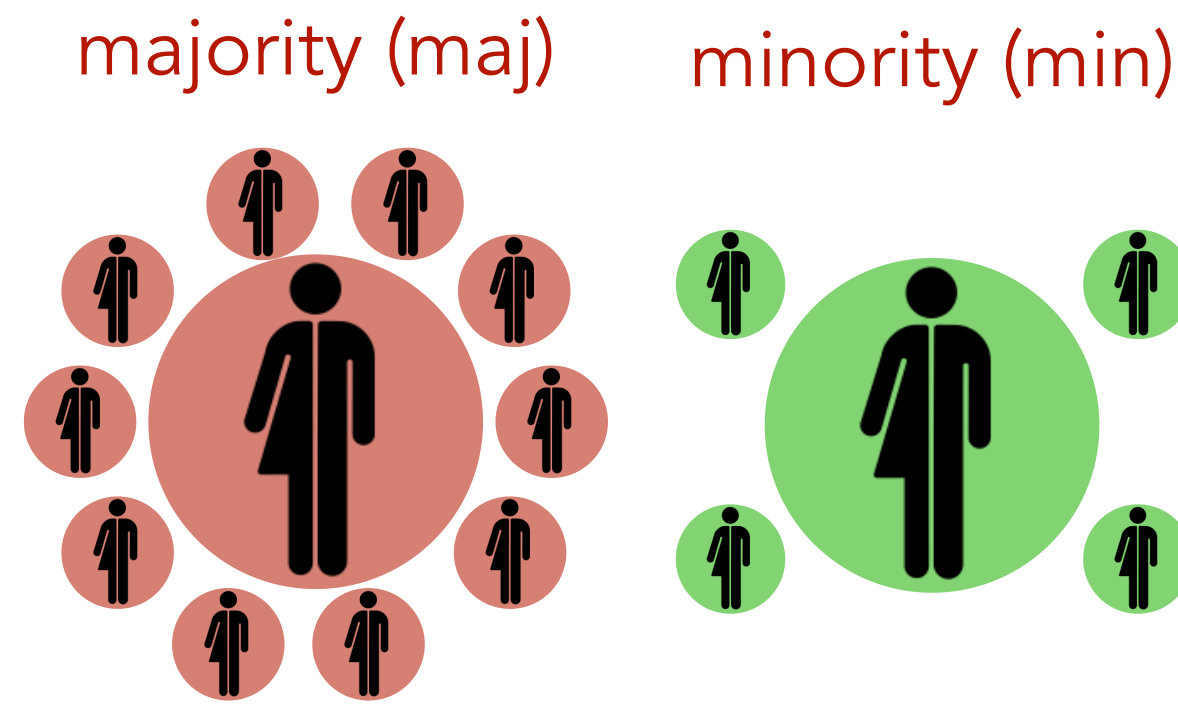
Strategic users' revealed consumption to RecSys

Disparate impact

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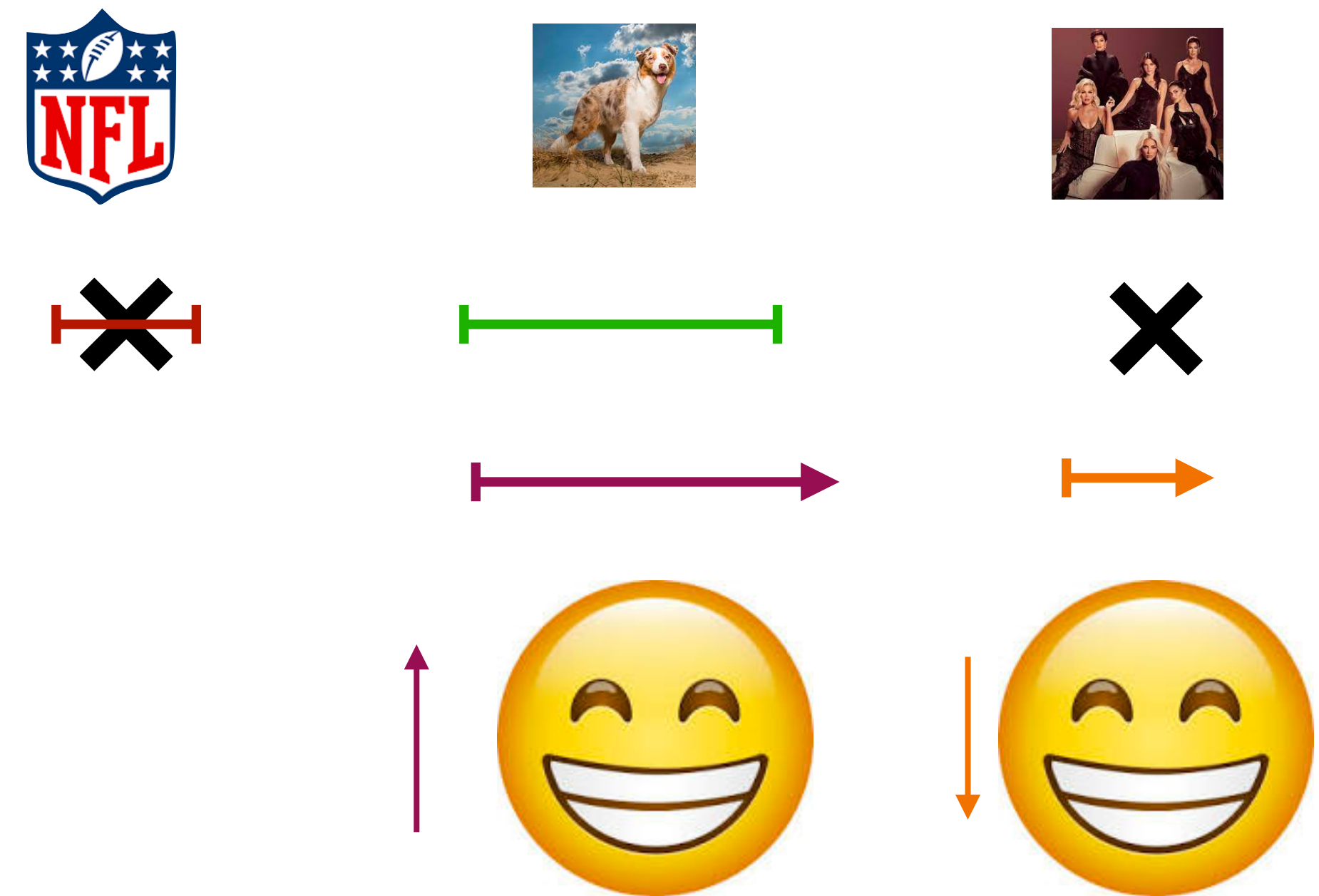
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User Types



Why equilibrium?

From **User** perspective: minority user utility



content type 1
Majority-Preferred

content type 2
Mainstream

content type 3
Minority-Preferred

Consumption Preferences

Strategic users' revealed consumption to RecSys

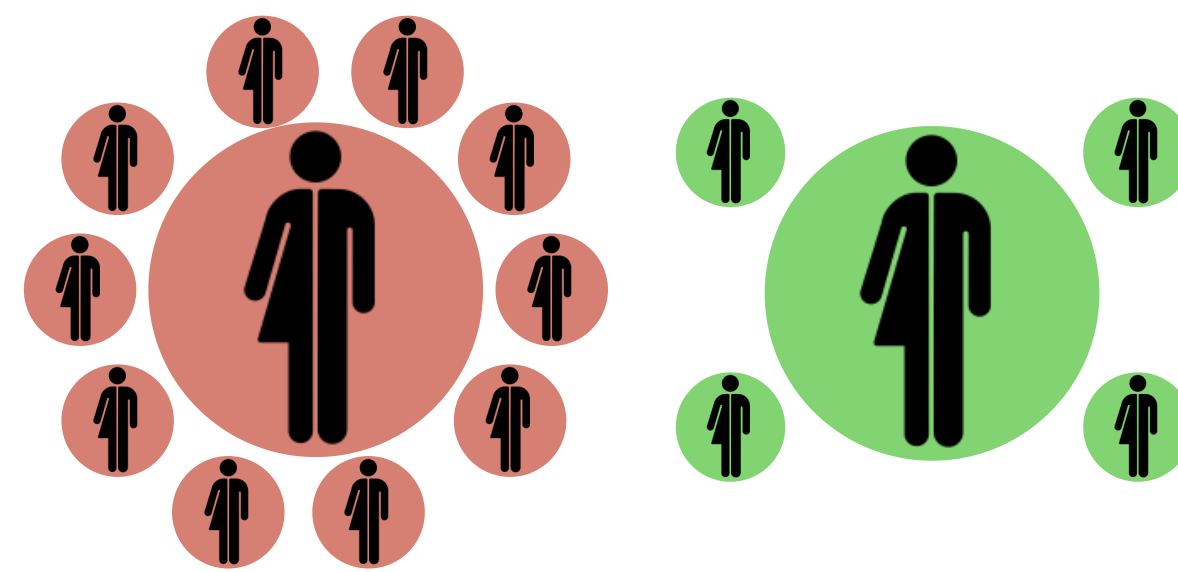
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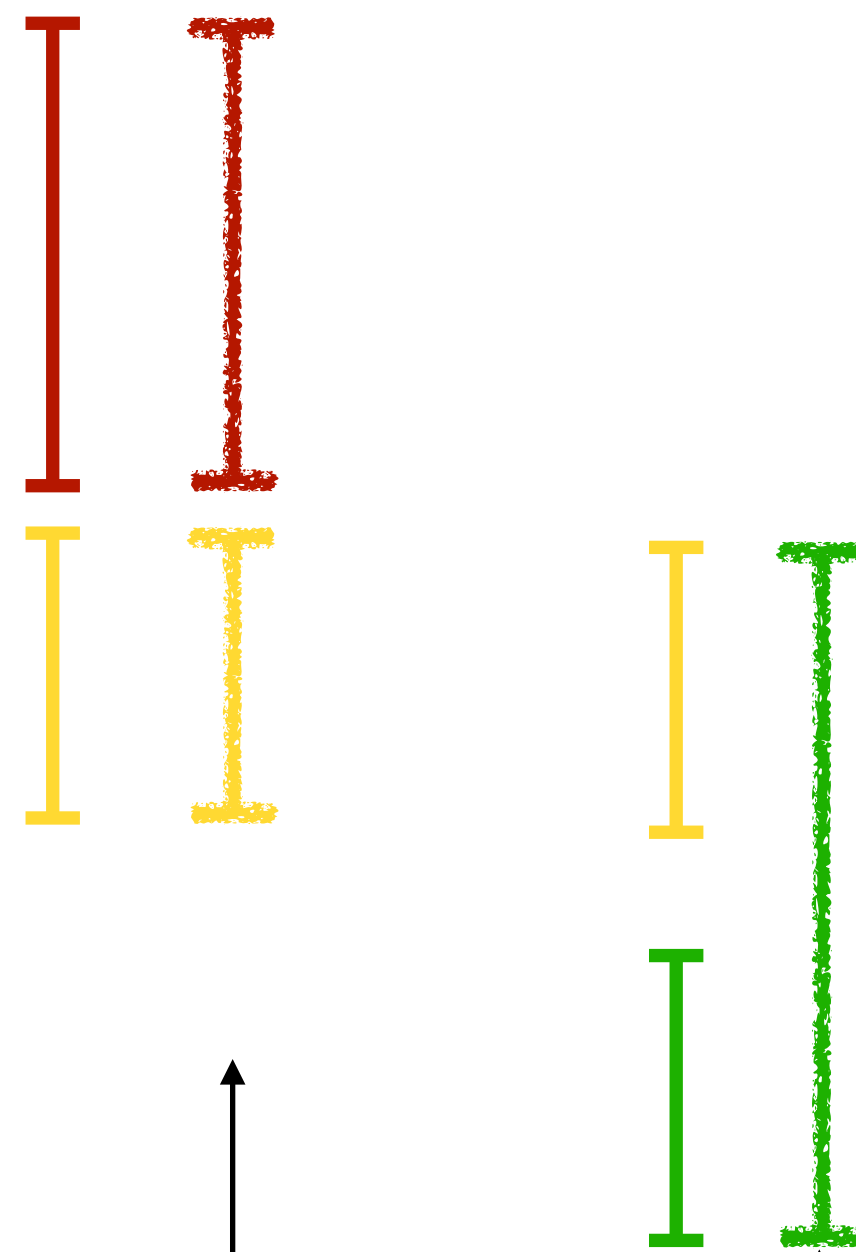
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Consumption Preferences



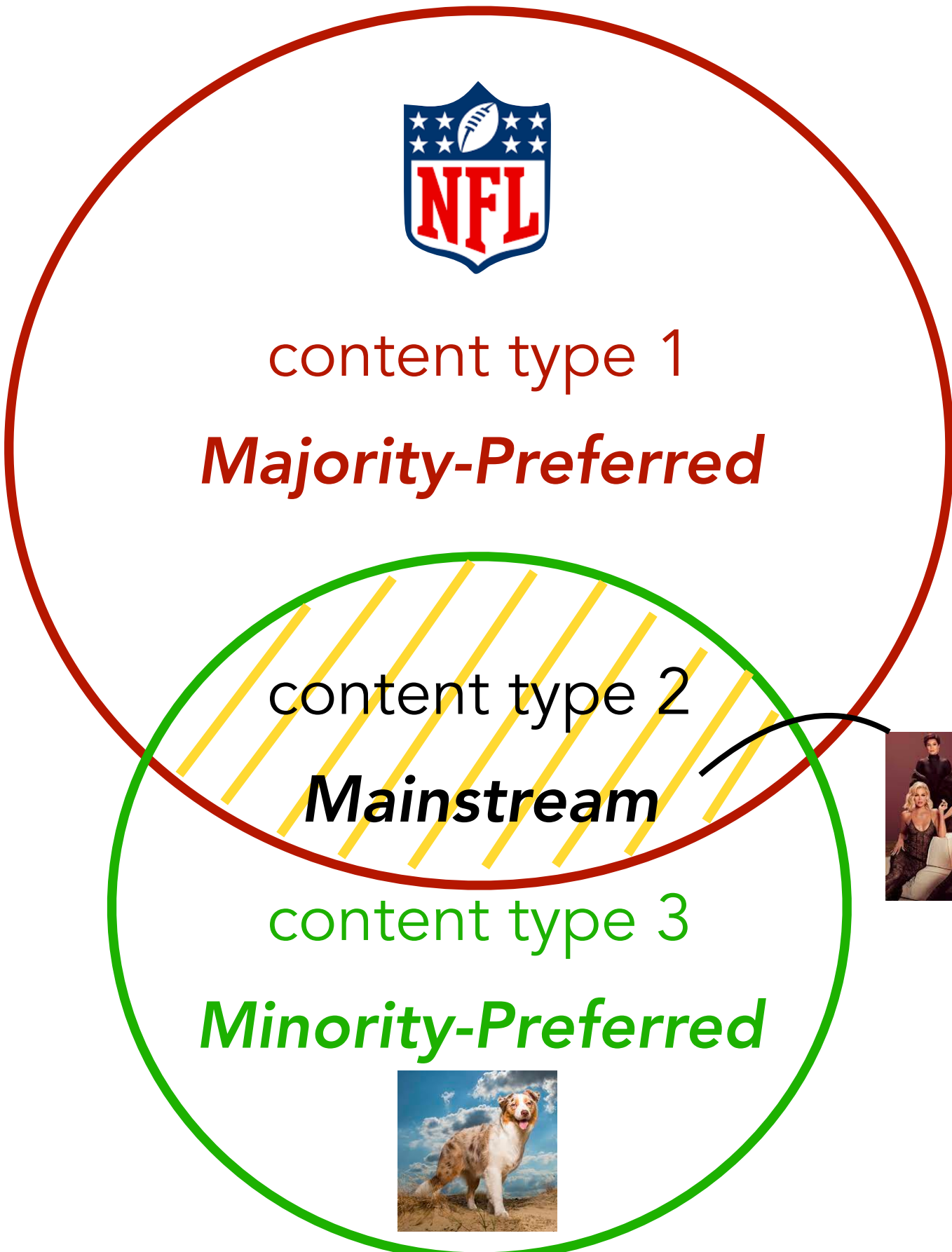
Strategic users' revealed consumption to RecSys

Implications for Minority

- 1) **maj** users: **no need to strategize!**
- 2) **min** users: act more **stereotypically**
- 3) **min** users: **mainstream abstention**

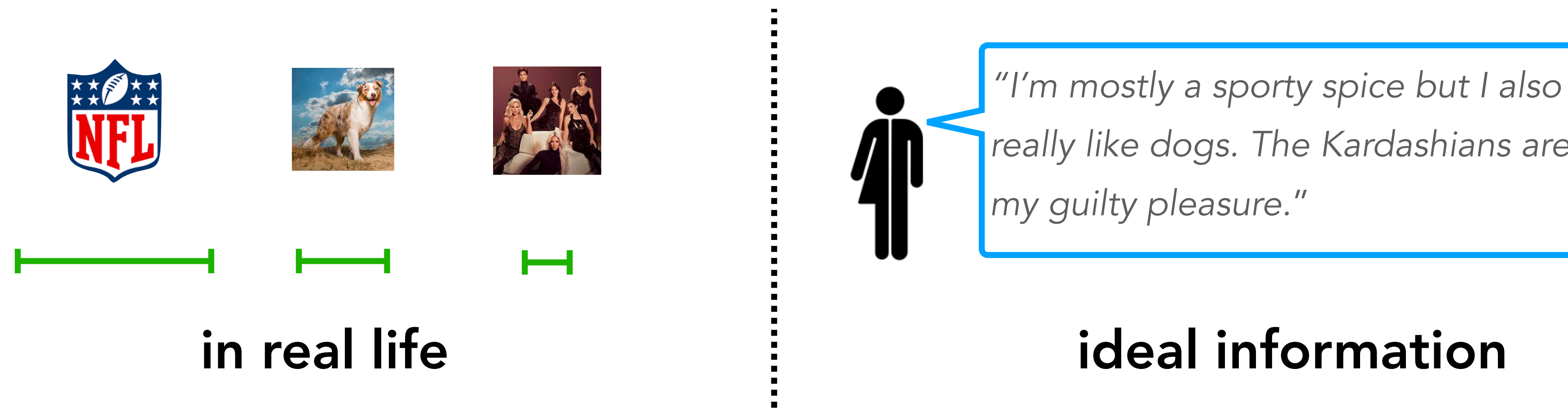
"I make sure to interact things that are specific to content types I want to see, **even if I don't really like the content of that specific video.**"

"I would use that to search things that I wouldn't want recommended to me. **Stuff that I like, but stuff that I wouldn't want to clog my feed.**"



Fundamental problem in recommending to strategic users

RS has imperfect, coarse information wrt user type.



Interventions guiding principle: fine tune learning priorities wrt user type inference

Open Questions / Directions

1. Understanding platforms' awareness of individuals' incentives.

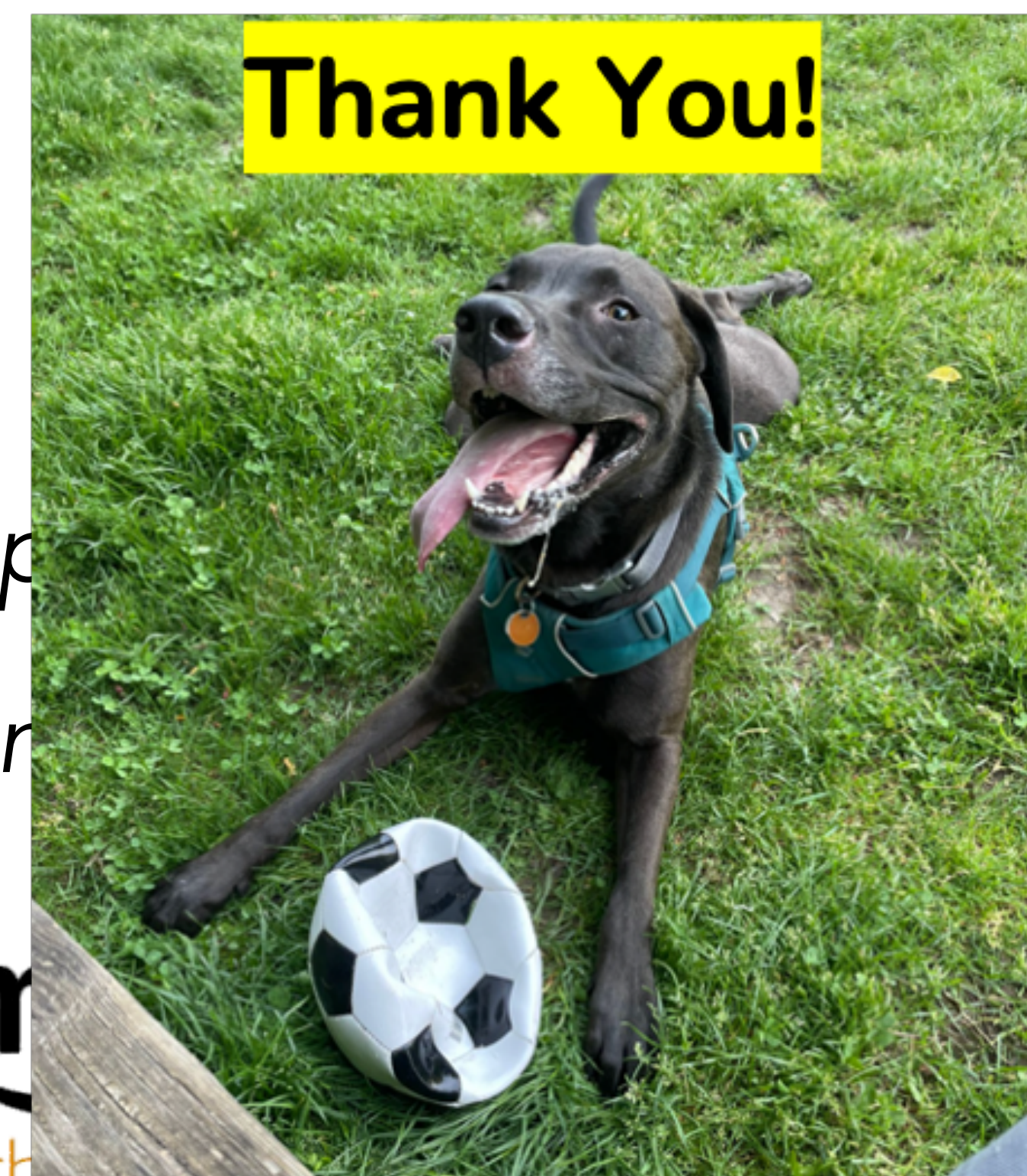
2. Modeling **incentives** and system dynamics for **content creators**.

3. Understanding the **Price of Personalization** in RecSys.

Contributions

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Q2: Harms to users if RecSys does not adapt? Intervention?



am
mechanical turk

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Understanding user utility

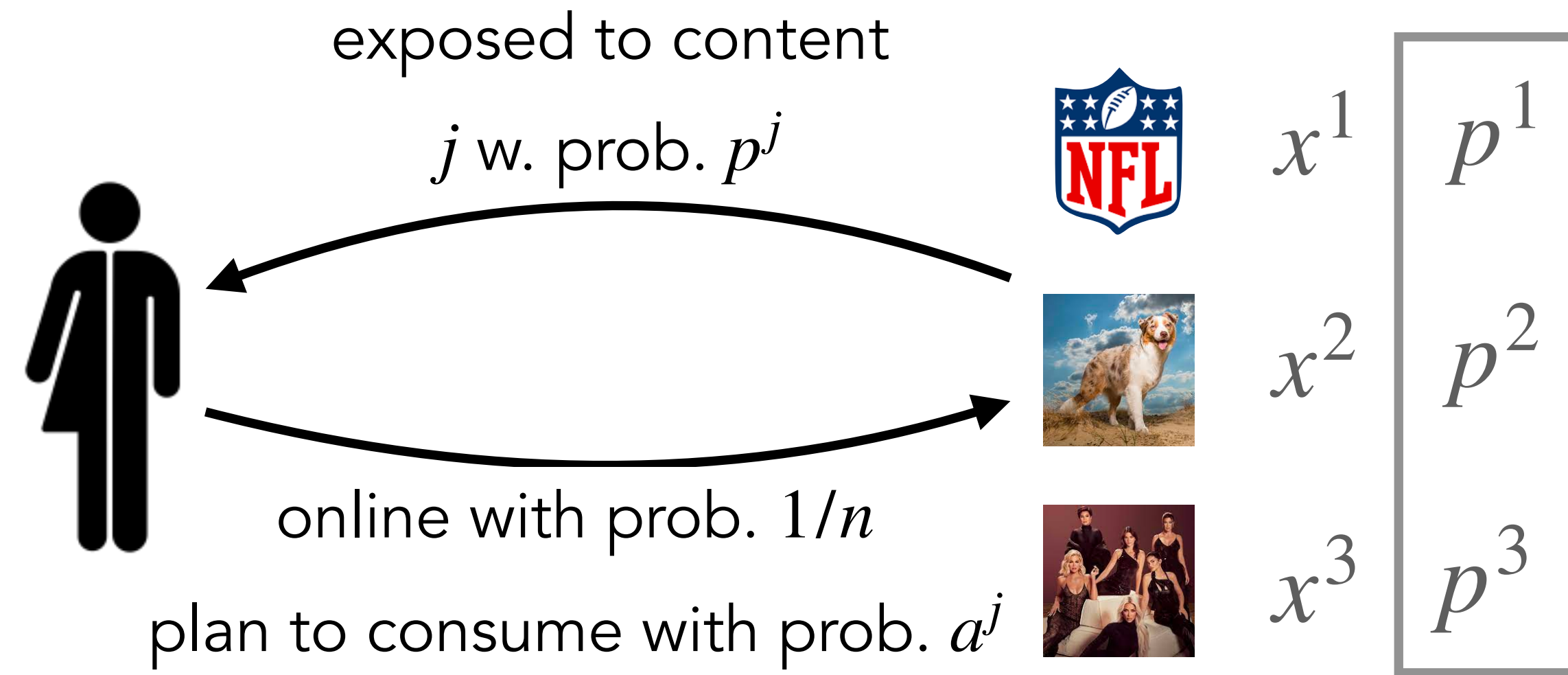
$$u_U(q, x, a; \theta) = u^{CS}(q, a; \theta) + u^{Rec}(x; \theta)$$

$\approx \theta^j = \Pr[\text{like content } j]$

During the Cold Start Phase (assume duration n rounds)...

exposure probabilities (chosen independently from RecSys)

- 3 factors affecting user utility at Cold Start**
1. exposure rates: p^j
 2. round interaction: $1/n$
 3. consumption plan: a^j



Poisson Consumption
 $q^j \sim \pi(a^j) = \text{Pois}(p^j a^j)$

- i) If $a^j \leq \theta^j \Rightarrow$ consume only q^j & get utility $q^j \cdot 1$
 - ii) If $a^j > \theta^j \Rightarrow \Pr[\text{like } j \mid \text{consume } j] = \theta^j / a^j$. Utility = $q^j \cdot \left(1 \cdot \frac{\theta^j}{a^j}\right) + (-1) \cdot \left(1 - \frac{\theta^j}{a^j}\right)$
- } $u^{CS} = \sum_{j \in [d]} p^j (2 \min\{\theta^j, a^j\} - a^j)$

Interventions

1. Recommendation choice intervention: ***over-representing minorities.***

2. Information design intervention: ***automatic incognito mode.***

Would your behavior on TikTok change in an incognito mode that does not log responses?

Incognito Mode Coding	Participant Count
no change: no reason	45
no change: less personalization	14
change: click "avoided" content	10
change: click "feed-clogging" content	9
change: exploration increase	9
other	8

Interventions

1. Recommendation choice intervention: ***over-representing minorities.***

2. Information design intervention: ***automatic incognito mode.***

3. Information gathering intervention: ***Cold Start improvement***